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I hereby certify that this paper is being deposited with the
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above and is addressed to the MAIL STOP AMENDMENT,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Deanna L. Hasler
Deanna L. Hasler

Our Case No.: 3086/1459 (BH2088.1.P1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David W. Baarman et al.

Serial No.: 10/760,020

Filing Date: January 17, 2004

For: SELF-POWERED MINIATURE
LIQUID TREATMENT SYSTEM

Examiner: Mohandesi, Iraj A.

Group Art Unit No.: 2834

Confirmation No. 8510

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(4), Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
US 4,272,686	06/09/1981	Suzuki
US 5,780,860	07/14/1998	Gadgil et al.
US 5,891,329	04/06/1999	Massholder
US 6,798,080	09/28/2004	Baarman et al.
JP 2001334179	12/04/2001	Touzai Kagaku Sangyo KK
DE 198 13 544 A1	10/07/1999	Hansa Metallwerke Ag
DE 201 05 341 U1	08/01/2002	Hans Mueller
Kim, B.R., et al., "Literature review-efficacy of various disinfectants against Legionella in Water Systems," Water Research, Elsevier Science Publishers, Amsterdam, NL, Vol. 36, No. 18, Nov. 2002, pp. 4433-4444, XP004380727		
International Search Report of PCT/US2004/001484, Mailed 07/02/2004		

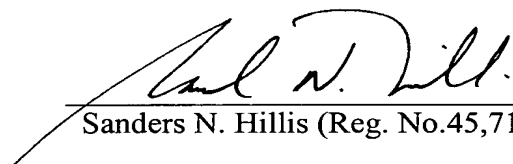
Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

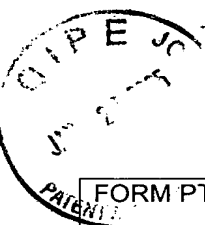
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

JAN 25, 2005
Date


Sanders N. Hillis (Reg. No.45,712)



FORM PTO-1449	SERIAL NO. 10/760,020	CASE NO. 3086/1459
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE January 17, 2004	GROUP ART UNIT 2834
APPLICANT(S): David W. Baarman et al.		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,272,686	06/09/1981	Suzuki	290/54	03/25/1980
	A2	5,780,860	07/14/1998	Gadgil et al.	250/432 R	08/06/1996
	A3	5,891,329	04/06/1999	Massholder	210/100	05/07/1997
	A4	6,798,080 B1	09/28/2004	Baarman et al.	290/43	10/05/2000
	A5					
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14	DE 201 05 341 U1	09/05/2002	Germany	C02F 1/32	No
	A15	JP 2001334179	12/04/2001	Japan	B05B 1/18	Yes
	A16	DE 198 13 544 A1	10/07/1999	Germany	C02F 1/32	No
	A17					
	A18					

EXAMINER
INITIAL

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

	A19	Kim, B.R., et al., "Literature review-efficacy of various disinfectants against Legionella in Water Systems," Water Research, Elsevier Science Publishers, Amsterdam, NL, Vol. 36, No. 18, Nov. 2002, pp. 4433-4444, XP004380727
	A20	International Search Report of PCT/US2004/001484, Mailed 07/02/2004
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.